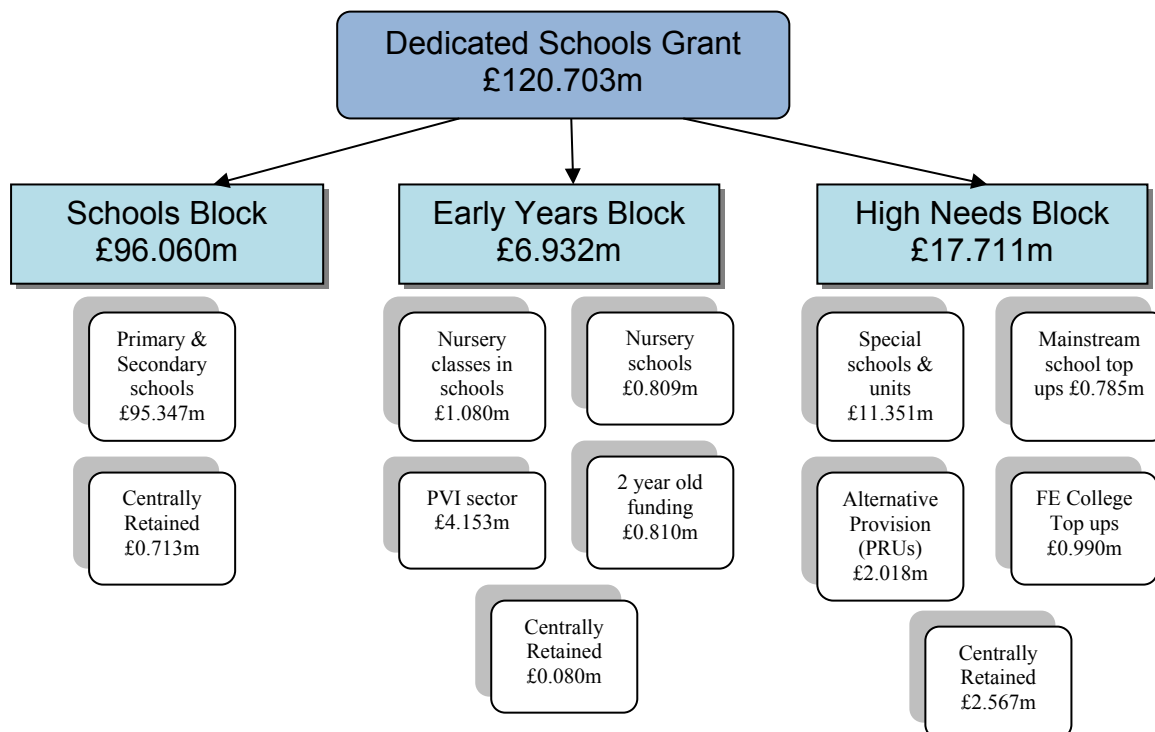


| West Berkshire Schools' Forum | |
|--------------------------------------|----------------------------------------|
| Title of Report: | DSG Monitoring 2015/16, Month 5 |
| Date of Meeting: | 28th September 2015 |
| Contact Officer(s) | Ian Pearson |
| For Discussion | |

1. Background

- 1.1 The main source of funding for schools is the Dedicated Schools Grant (DSG). It is a ring fenced specific grant and can only be used on school/pupil activity.
- 1.2 The grant is split into three funding blocks. Although separate allocations are received for each, the blocks themselves are not ring fenced.
- 1.3 The following diagram shows what is funded out of each of the three blocks (the figures include funding to Academies which is paid to them direct by the EFA and exclude carry forward of one off funding from the previous year):



The main centrally retained services are:

Schools Block – licences for all schools, growth fund for schools, school admissions service

Early Years Block – quality monitoring & compliance, eligibility checking, sufficiency & sustainability planning, early years IT system

High Needs Block – ASD advisory support, Home Tuition, Engaging Potential service, therapy services, sensory impairment support, inclusion support, applied behaviour support, vulnerable children support, early intervention

1.4 Overspends, unless funded from outside the DSG, are carried forward and top sliced from the following year's DSG allocation. Underspends must be carried forward to support the school's budget in future years.

1.5 The Authority and Schools' Forum are responsible for ensuring that the DSG is deployed correctly, and monitoring of spend against the DSG needs to take place regularly to enable decision making on overspends/underspends and to inform future year budget requirements.

2. Monitoring Position as at Month 5 (2015-16)

2.1 At the end of August 2015 the total DSG overspend position forecast for year end is £273k, all in the high needs block, as shown in Figure 1 below:

Figure 1: Financial Position as at Month 5 (August 2015).

| | Total Current Budget £m | Actual Spend Forecast Month 5 £m | Month 5 Forecast Outturn Variance £m |
|------------------------------|--------------------------------------------|---------------------------------------------------------|-------------------------------------------------------------|
| Schools Block (inc ISB) | 65,464,140 | 65,464,140 | 0 |
| Early Years Block | 7,629,750 | 7,629,750 | 0 |
| High Needs Block | 16,141,010 | 16,413,890 | 272,880 |
| Total Net Expenditure | 89,234,900 | 89,507,780 | 272,880 |
| Support Service Recharges | 720,890 | 720,890 | 0 |
| Total Expenditure | 89,955,790 | 90,228,670 | 272,880 |
| DSG Grant | -89,955,790 | -89,955,790 | 0 |
| Net Position | 0 | 272,880 | 272,880 |

A further analysis per cost centre is shown in Appendix A.

2.2 The Schools Block is expected to be largely on-line. Any under spends in the growth fund contingency budget and primary schools in financial difficulty budget will be ring fenced and carried forward to 2016/17 and will not impact on the overall position of the DSG. There may be a small overspend on the delegated primary and secondary budgets due to rating revaluations.

2.3 A detailed assessment of the forecast for the Early Years block budgets will be undertaken next month once the Autumn payments have been made to providers and a projection can be made for Spring payments.

2.4 The High Needs Block is currently forecasting an overspend of £272k, the bulk of which is in relation to new placements in non West Berkshire Special schools,

mainly Thames Valley Free School. Additional placements over and above allocated place numbers in our own special schools are also causing a pressure.

2.5 A more detailed report on the high needs budget and a review of the savings targets that were set for the current year's budget will be brought to the next meeting of the Schools' Forum in December.

Appendices

Appendix A – DSG 2015-16 Budget Monitoring Report as at 31st August 2015

Appendix A

| Dedicated School's Grant (DSG) 2015-16 Budget Monitoring Month 5 | | | | | | | | |
|------------------------------------------------------------------|-------------|-------------------------------------------|-------------------|-----------------------|-------------------|-------------------|----------------|----------------------------------------------------------|
| Budget manager | Cost Centre | Description | Original Budget | Net Virements in year | Amended Budget | Forecast | Variance | Comments |
| Ian Pearson | 90019 | DSG Servicing of Schools' Forum | 36,840 | | 36,840 | 36,840 | 0 | |
| Ian Pearson | 90020 | Primary Schools | 47,457,760 | | 47,457,760 | 47,457,760 | 0 | |
| Ian Pearson | 90025 | Secondary Schools | 16,650,490 | | 16,650,490 | 16,650,490 | 0 | |
| Maxine Slade | 90035 | LAC Pupil Premium | 0 | | 0 | 0 | 0 | |
| Ian Pearson | 90112 | Special Costs Primary | 29,080 | | 29,080 | 29,080 | 0 | |
| Ian Pearson | 90117 | Special Costs Secondary | 14,000 | | 14,000 | 14,000 | 0 | |
| Ian Pearson | 90230 | Schools in Financial Difficulty | 115,110 | 118,850 | 233,960 | 233,960 | 0 | |
| Ian Pearson | 90235 | School Delegated Contingency | 290,000 | 32,160 | 322,160 | 322,160 | 0 | |
| Maxine Slade | 90255 | Virtual School Service | 222,010 | | 222,010 | 222,010 | 0 | |
| Cathy Burnham | 90349 | Behaviour Support - DSG | 192,540 | | 192,540 | 192,540 | 0 | |
| Caroline Corcoran | 90583 | CLA/MPA Licences | 122,410 | | 122,410 | 122,410 | 0 | |
| Caroline Corcoran | 90743 | Admissions | 182,890 | | 182,890 | 182,890 | 0 | |
| Schools Block Total | | | 65,313,130 | 151,010 | 65,464,140 | 65,464,140 | 0 | |
| Ian Pearson | 90010 | Nursery Schools | 808,730 | | 808,730 | 808,730 | 0 | |
| Avril Allenby | 90017 | Early Years Support Team | 47,680 | | 47,680 | 47,680 | 0 | |
| Avril Allenby | 90018 | Expenditure on 2 year olds | 810,000 | | 810,000 | 810,000 | 0 | |
| Avril Allenby | 90036 | Early Years Funding for PVI | 4,726,470 | -52,820 | 4,673,650 | 4,673,650 | 0 | |
| Ian Pearson | 90037 | Early Yrs Funding Maintained Sector | 1,080,100 | | 1,080,100 | 1,080,100 | 0 | |
| Avril Allenby | 90051 | Early Years Funding - Contingency | 0 | | 0 | 0 | 0 | |
| Avril Allenby | 90052 | Early Years PPG & Deprivation Funding | 209,590 | | 209,590 | 209,590 | 0 | |
| Early Years Block Total | | | 7,682,570 | -52,820 | 7,629,750 | 7,629,750 | 0 | |
| Nicola Ponton | 90026 | Academy Schools RU Top Ups | 419,730 | | 419,730 | 419,730 | 0 | |
| Nicola Ponton | 90539 | Special Schools - Top Up Funding | 2,730,940 | | 2,730,940 | 2,793,280 | 62,340 | Based on current demand |
| Nicola Ponton | 90548 | Non WBC Special Schools - Top Up Funding | 735,240 | | 735,240 | 1,015,890 | 280,650 | Based on current demand |
| Nicola Ponton | 90575 | Non LEA Special School (OofA) | 905,320 | | 905,320 | 814,900 | -90,420 | Based on current demand |
| Nicola Ponton | 90579 | Independent Special School Place & Top Up | 1,583,850 | | 1,583,850 | 1,560,390 | -23,460 | Based on current demand |
| Nicola Ponton | 90580 | Further Education Colleges Top Up | 990,040 | | 990,040 | 990,040 | 0 | |
| Nicola Ponton | 90617 | Resourced Units top up Funding maintained | 329,230 | | 329,230 | 329,230 | 0 | |
| Nicola Ponton | 90618 | Non WBC Resourced Units - Top Up Funding | 27,860 | | 27,860 | 41,270 | 13,410 | Based on current demand including new |
| Nicola Ponton | 90621 | Mainstream - Top Up Funding maintained | 509,980 | -50,000 | 459,980 | 459,980 | 0 | |
| Nicola Ponton | 90622 | Mainstream - Top Up Funding Academies | 213,240 | | 213,240 | 213,240 | 0 | |
| Nicola Ponton | 90624 | Non WBC Mainstream - Top Up Funding | 62,150 | | 62,150 | 62,150 | 0 | |
| Cathy Burnham | 90625 | Pupil Referral Units - Top Up Funding | 1,061,000 | | 1,061,000 | 1,061,000 | 0 | |
| Nicola Ponton | 90627 | Disproportionate No: of HN Pupils NEW | 0 | 50,000 | 50,000 | 50,000 | 0 | |
| Jane Seymour | 90237 | Special Needs Delegated Contingency | 0 | | 0 | 0 | 0 | |
| High Needs Block: Top Up Funding Total | | | 9,568,580 | 0 | 9,568,580 | 9,811,100 | 242,520 | |
| Cathy Burnham | 90320 | Pupil Referral Units | 840,000 | | 840,000 | 840,000 | 0 | |
| Ian Pearson | 90540 | Special Schools | 2,860,000 | | 2,860,000 | 2,860,000 | 0 | |
| Rhian Ireland | 90555 | LAL Funding | 134,600 | | 134,600 | 134,600 | 0 | |
| Nicola Ponton | 90584 | Resourced Units - Place Funding (70) | 500,000 | | 500,000 | 500,000 | 0 | |
| Cathy Burnham | 90582 | PRU Outreach | 117,000 | | 117,000 | 117,000 | 0 | |
| Jane Seymour | 90585 | HN Outreach Special Schools | 70,000 | | 70,000 | 70,000 | 0 | |
| High Needs Block: Place Funding Total | | | 4,521,600 | 0 | 4,521,600 | 4,521,600 | 0 | |
| Ian Pearson | 90038 | Pupil Premium - | 0 | | 0 | 0 | 0 | |
| Ian Pearson | 90236 | Managed Moves/Exclusions Contingency | 0 | | 0 | 0 | 0 | |
| Rhian Ireland | 90238 | Sen Pre School Childrn | 50,210 | | 50,210 | 60,210 | 10,000 | High number of complex children attending for more hours |
| Nicola Ponton | 90240 | Applied Behaviour Analysis | 110,730 | | 110,730 | 110,730 | 0 | |
| Rhian Ireland | 90280 | Spec Needs Spprt Team | 261,950 | | 261,950 | 261,950 | 0 | |
| Jane Seymour | 90290 | Sensory Impairment | 227,440 | | 227,440 | 238,800 | 11,360 | Demand for visits from RBWM Sensory Consortium Service |
| Jane Seymour | 90295 | Therapy Services | 315,430 | | 315,430 | 324,430 | 9,000 | Additional support for some children at Castle School. |
| Cathy Burnham | 90315 | Home Tuition | 300,000 | | 300,000 | 300,000 | 0 | |
| Nicola Ponton | 90565 | Equipment For SEN Pupils | 20,000 | | 20,000 | 20,000 | 0 | |
| Jane Seymour | 90577 | SEN Commissioned Provision | 540,260 | | 540,260 | 540,260 | 0 | |
| Rhian Ireland | 90830 | ASD Teachers | 127,940 | | 127,940 | 127,940 | 0 | |
| Rhian Ireland | 90957 | Early Intervention | 7,550 | | 7,550 | 7,550 | 0 | |
| Cathy Burnham | 90961 | Vulnerable Children | 60,000 | | 60,000 | 60,000 | 0 | |
| Rhian Ireland | 90965 | SEN Inclusion Programme | 29,320 | | 29,320 | 29,320 | 0 | |
| High Needs Block: Non Top Up or Place Funding | | | 2,050,830 | 0 | 2,050,830 | 2,081,190 | 30,360 | |
| High Needs Block Total | | | 16,141,010 | 0 | 16,141,010 | 16,413,890 | 272,880 | |
| Total Expenditure across funding blocks | | | 89,136,710 | 98,190 | 89,234,900 | 89,507,780 | 272,880 | |
| SUPPORT SERVICE RECHARGES | | | 720,890 | | 720,890 | 720,890 | 0 | |
| TOTAL DSG EXPENDITURE | | | 89,857,600 | 98,190 | 89,955,790 | 90,228,670 | 272,880 | |